

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

STEPHEN SULLIVAN, WHITE OAK FUND LP,  
CALIFORNIA STATE TEACHERS' RETIREMENT  
SYSTEM, SONTERRA CAPITAL MASTER FUND, LTD.,  
FRONTPONT PARTNERS TRADING FUND, L.P., AND  
FRONTPONT AUSTRALIAN OPPORTUNITIES TRUST  
on behalf of themselves and all others similarly situated,

Plaintiffs,

v.

No. 13-cv-02811 (PKC)

BARCLAYS PLC, BARCLAYS BANK PLC, BARCLAYS  
CAPITAL INC., BNP PARIBAS S.A., CITIGROUP, INC.,  
CITIBANK, N.A., COÖPERATIEVE CENTRALE  
RAIFFEISEN-BOERENLEENBANK B.A., CRÉDIT  
AGRICOLE S.A., CRÉDIT AGRICOLE CIB, DEUTSCHE  
BANK AG, DB GROUP SERVICES UK LIMITED, HSBC  
HOLDINGS PLC, HSBC BANK PLC, ICAP PLC, ICAP  
EUROPE LIMITED, J.P. MORGAN CHASE & CO.,  
JPMORGAN CHASE BANK, N.A., THE ROYAL BANK  
OF SCOTLAND PLC, SOCIÉTÉ GÉNÉRALE SA, UBS  
AG AND JOHN DOE NOS. 1-50,

Defendants.

**NOTICE OF COMPLIANCE WITH 28 U.S.C. § 1715**

PLEASE TAKE NOTICE that on January 18, 2017, in accordance with 28 U.S.C. § 1715(b), Defendants HSBC Holdings plc and HSBC Bank plc caused notification of the proposed class action settlement with the putative plaintiff class in this action to be provided to each of the appropriate federal and state officials by certified mail through the U.S. Postal Service.

Dated: March 21, 2018

Respectfully submitted,

/s/ J. Matthew Goodin

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**HSBC Holdings plc and HSBC Bank plc**